

SPONSORED RESEARCH AGREEMENT

between

Utthan

and

KONGUNADU ARTS AND SCIENCE COLLEGE

This Sponsored Research Agreement ("Agreement") is entered into as of September 01, 2023 ("Effective Date") between **UTTHAN**, a nonprofit organization headquartered at 36, Chitrakut Twins, situated behind Management Enclave, Nehru Park, Vastrapur, Ahmedabad, Gujarat 380015, hereinafter referred to as "**Utthan**" and **KONGUNADU ARTS AND SCIENCE COLLEGE** (Autonomous), located at GN Mills, Coimbatore - 641029, Tamil Nadu, India, hereinafter referred to as "**KASC**".

Recitals

WHEREAS, Utthan desires to engage KASC to conduct a research study titled "**Gendered Assessment of Artisanal Fisherfolk in Gujarat: Current Status, Climate Resilience, and Future Prospects**" (referred to as the "project"), and Dr. V. Selvakumar, Lead in Knowledge Management, and Pallavi, Joint CEO, are coordinating with KASC on this research.

WHEREAS, KASC possesses the necessary technical expertise and wishes to conduct the research project described in this agreement (hereafter referred to as the "Project"), under the supervision of **Dr. S. Raja**, Assistant Professor (Employee Number: A/TS/98), and **Dr. M. Samynathan**, Assistant Professor (Employee Number: A/TS/180) of KASC's Department of Zoology, who are designated as the Principal Investigators.

NOW, THEREFORE, in consideration of the foregoing and the mutual agreements contained herein, Utthan and KASC hereby agree as follows:

1. Scope of the Assignment

The scope of this study is to comprehensively assess the socioeconomic status and ecological challenges faced by artisanal fisherfolk in Gujarat, as well as to evaluate the overall impact of policies and interventions carried out by Utthan. The study's findings will provide valuable insights to inform future interventions, guide policy reforms, and support community development initiatives with the ultimate aim of enhancing the quality of life and resilience of artisanal fisherfolk in the region.

2. Objectives of the assignment

The research objectives are as follows:

- a) Assess the current socioeconomic status of artisanal fisherfolk in Gujarat, including income levels, educational attainment, and access to basic services.
- b) Identify and document the ecological challenges faced by the community, such as overfishing, habitat degradation, and climate change impacts.

- c) Identify and analyze policy gaps and institutional barriers that hinder artisanal fisherfolk from accessing government schemes and support services.
- d) Evaluate the impact of Utthan's interventions in terms of rights realisation, solidarity, income generation, livelihood diversification and resilience to ecological challenges.
- e) Highlight actionable measures that Utthan can undertake to improve the quality of life for the artisanal fisherfolk community, considering aspects such as skill development, access to credit and markets, rights realisation and social welfare initiatives.
- f) Analyze the impacts and study variables from a gender perspective to identify any gender-specific challenges or opportunities.

3. Methodology

The study will adopt a mixed-methods research approach, combining qualitative and quantitative methods. The following research methods will be employed:

1. **Household survey:** Conduct a sample survey among artisanal fisherfolk households to gather data on socioeconomic indicators, including income, education, health, and access to services in the few villages of Bhavnagar district - Mahuva block & Amreli district - Rajula block
2. **Ecological assessment:** Conduct field surveys and interviews to identify and document the key ecological challenges faced by artisanal fisherfolk, such as declining fish stocks, habitat degradation, and climate-related impacts.
3. **Impact evaluation:** Employ quantitative methods, such as statistical analysis and comparison of pre- and post-intervention data, to evaluate the impact of Utthan's livelihood interventions on income levels, livelihood diversification, and ecological resilience of the community.
4. **Gender analysis:** Utilize qualitative research methods, including focus group discussions and in-depth interviews, to explore the gendered impacts and opportunities associated with Utthan's interventions.
5. **Policy analysis:** Conduct a thorough analysis of existing policies and government schemes relevant to artisanal fisherfolk, focusing on the barriers and gaps that limit their access to support services.
6. **Stakeholder consultations:** Engage with community members, Utthan staff, government officials, and other relevant stakeholders through workshops and meetings to gather perspectives and validate findings.

4. Expected Results

The anticipated results of this research study are:

1. Comprehensive documentation of the socioeconomic status and ecological challenges faced by artisanal fisherfolk in Gujarat.
2. Evaluation of the impact of Utthan's interventions on the artisanal fisherfolk community in terms of rights realisation, solidarity, income generation, livelihood diversification and resilience to ecological challenges.
3. Identification of actionable measures that Utthan can implement to improve the quality of life for the artisanal fisherfolk community, considering skill development, market access, social welfare initiatives, and community empowerment in Gujarat, particularly in Bhavnagar & Amreli districts.

4. Identification and analysis of policy gaps and institutional barriers that hinder the community's access to government schemes and support services.
5. Gender analysis to understand the differential impacts and opportunities experienced by men and women within the artisanal fisherfolk community.

5. Outputs and Deliverables

To ensure maximum impact, the research findings will be disseminated to relevant stakeholders and the wider public through various channels. The Principal Investigators are accountable with delivering the following outcomes at the end of the project:

- i. **Research Report:** A detailed research report documenting the study's objectives, methodology, findings, and policy recommendations.
- ii. **Workshops and Seminars:** Participate in and share the research findings, engaging in discussions during interactive workshops and seminars organized with the participation of artisanal fisherfolk, Utthan, government officials, NGOs, and researchers.
- iii. **Policy Briefs:** Develop two concise policy briefs based on the study findings, targeting policymakers and key decision-makers. These briefs should highlight identified policy gaps and provide actionable recommendations for policy reforms.
- iv. **Academic Publications:** Publish two research articles in reputable journals which are indexed in Scopus and Web of Science, contributing to the existing literature on artisanal fisherfolk livelihoods and sustainable development, with a focus on Utthan's interventions.

6. Confidentiality

All documents, data, and video clips obtained during interviews and meetings are confidential and are intended solely for the purpose of research. The Principal Investigators are required to submit all raw data to Utthan upon completion of the study.

7. Contract Duration

The contract for conducting the research commences on **1st September 2023** and concludes on **March 31, 2024**. The Principal Investigators are required to submit the research reports and other deliverables within this time frame. An extension for the submission of any deliverable will only be allowed in extraordinary situations, and Utthan has the sole right to reject any such request for an extension.

8. Professional fee

The Principal Investigators shall receive a **consolidated payment of INR 4,00,000**, inclusive of all costs incur for conducting the research. This amount covers all expenses, including field-level data collection, analysis, travel expenses of the researchers, and report writing. Utthan's sole responsibility is limited to coordinating the process.

9. Terms of Payment

Payment for the grant will be divided into four equal installments. The first installment, equivalent to 25% of the grant, will be disbursed after the signing of the agreement. The second installment, also 25% of the grant, will be released upon submission and acceptance of the inception report. Similarly, the third installment, accounting for 25% of the grant, will be paid

upon submission and acceptance of the draft report. The final 25% installment will be disbursed after the successful submission and approval of the deliverables, as outlined in this agreement. The payment installments to the Principal Investigators will be subject to the deduction of applicable taxes mandated by government norms.

10. Reporting

The Principal Investigators are required to provide Utthan with monthly updates on the research's progress. Given the short-term nature of the project and to ensure timely delivery of the deliverables, this regular communication is essential. Additionally, the Principal Investigators will closely coordinate their work with the research coordinator Dr. V. Selvakumar, Lead - Knowledge Management at Utthan.

11. Ownership of Work and Intellectual Property

All intellectual property rights arising from the study, including but not limited to the research report, data, findings, analyses, and any related materials, shall be solely and exclusively owned by Utthan. The Principal Investigators and their research team, in the course of conducting this study, agree to transfer and assign any and all rights, title, and interest they may have in the intellectual property to Utthan. This transfer of ownership includes, but is not limited to, copyrights, trademarks, patents, and any other proprietary rights. Utthan shall have the unrestricted right to use, modify, reproduce, distribute, and publish any findings or materials produced as a result of this study for its purposes, without seeking additional permissions or approvals from the Principal Investigators or any other parties involved in the research. The Principal Investigators and their research team shall cooperate fully in executing any necessary documents to effectuate this transfer of intellectual property rights to Utthan.

12. Termination

Utthan retains the right to terminate this agreement at any time, with or without cause, through written notice. Termination for convenience allows Utthan to end the agreement without specifying a reason, with compensation provided for work completed as per the payment schedule. Termination of cause permits immediate termination due to a material breach by the Principal Investigators, with no compensation for incomplete work. Upon termination, the Principal Investigators must promptly deliver all deliverables and materials to the Utthan, including intellectual property rights, which remain with the Utthan. No further obligations or claims exist beyond accrued compensation. Certain provisions, such as confidentiality and governing law, persist post-termination, and disputes are subject to the Jurisdiction of courts of Ahmedabad, Gujarat.

13. Composition and Accountability of the Research Team

The Principal Investigators, as named in this Agreement, are exclusively responsible for ensuring the successful and timely completion of all research deliverables outlined in article 5 of this agreement. In addition to their individual responsibilities, the Principal Investigators are required to assemble and lead a team of subject matter experts (the "Research Team") for the execution of this research project. The composition of the Research Team, including the selection of subject matter experts, is subject to prior approval by Utthan.

The Principal Investigators shall provide Utthan with a comprehensive list of proposed team members, detailing their qualifications and roles, for Utthan's review and approval. Utthan retains the right to accept or reject any proposed team member based on their qualifications, experience, and alignment with the project's objectives.

It is explicitly noted that any payments or costs incurred for team members must be covered within the project's cost allocated to the Principal Investigators. Utthan shall not incur any additional expenses related to the Research Team. The Principal Investigators shall ensure that the Research Team operates cohesively, meeting the project's objectives, and adhering to the terms and conditions set forth in this Agreement. Any alterations to the composition of the Research Team shall also be subject to prior approval by Utthan.

14. Ethical Considerations

The research will strictly adhere to ethical guidelines, guaranteeing the principles of informed consent, confidentiality, and the safeguarding of participants' rights throughout the study. All collected data will be handled securely and anonymized to ensure the participants' privacy.

15. Non-discrimination

In the performance of services under this Agreement, both parties mutually agree not to engage in any form of discrimination against any employee or employment applicant on the basis of race, creed, color, sex, or national origin.

16. Entire Agreement and Amendments

This Agreement and its Attachments constitute the entire agreement between the parties concerning the subject matter herein, and they supersede all prior agreements and understandings related to such subject matter. No change, amendment, or alteration, including additions or terminations of any provisions, shall be valid unless made in writing and signed by each party. No oral statement by any person shall be construed as modifying or otherwise impacting the terms of this Agreement.

17. Acceptance

Both parties accept the above-mentioned terms and conditions.

For Utthan _____

Authorized signatory

Name:

(Srinivas Bhikadina)

Designation:

Organization:

In the witness of _____ Executive Officer

Signature:

Utthan

Name: Ranyatni Bheut

Date:

For, Utthan

Bheut

For Kongunadu Arts and Science College

Principal Investigator 1 :

Principal Investigator 2 : (Dr. M. Same)

Signature:

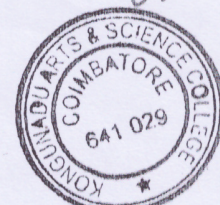
Dr. M. LAKESHMANASWAMY
MSc, MPhil, PhD, PEd, PSISC, FIBEC,
Principal
Kongunadu Arts and Science College
(Autonomous)
Coimbatore - 641 029, TN.

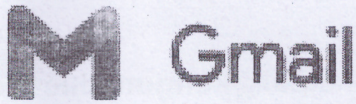
Name:

Date:

01-09-2023

Page 5 of 5





19/10/23

Principal Kasc <principal@kongunaducollege.ac.i

**Regarding deposited payment to KASC - UTTHAN for Amt.-
1,00,000/-.**

1 message

Rinku Chauhan <rinku@utthangujarat.org>

Thu, Oct 19, 2023 at 5:31 F

To: "principal@kongunaducollege.ac.in" <principal@kongunaducollege.ac.in>

Cc: finance utthan <finance@utthangujarat.org>, Selva kumar <selva@utthangujarat.org>

Dear Sir,

We would like to inform you, Today we have made payment by RTGS the First Instalment of the professional fees for the research study " Gendered Assessment of Artisanal Fisherfolk in Gujarat" vide cheque no: 001010, Amount: 90,000/-, dt: 19/010/2023, as detailed below:

Sr #	Name	Bill #	Bill Dt	Bill Amt
1	KASC - UTTHAN	1st Instalment	07-10-2023	1,00,000.00
10% TDS Deducted				10,000.00
Net Paid				90,000.00

Kindly confirm with us, when you receive a payment.

We appreciate your reply.

Rinku Chauhan

Accountant

Utthan36, Chitrakut Twins, Nehru Park,
Near Management Enclave, Vastrapur,
Ahmedabad, Gujarat 380015

India(+91) 79.2675.1023

<https://utthangujarat.org>

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Indian Overseas Bank
KONGUNADU COLLEGE Br.
IFSC CODE : IOBA0001707



இந்தியன் ஓவாசீஸ் வங்கி
इण्डियन ओवरसीज बैंक
Indian Overseas Bank

SAVINGS BANK ACCOUNT
Branch: [1707] KAVUNDAMPALAYAM KONCUNADU CQLL
GNANAMBIKAI MILLS P.O, COIMBATORE
COIMBATORE COIMBATORE NORTH-641029 TAMIL NADU, INDIA
Account No: 170701000019141
MR.KASC - UTTAN

G N MILLS PO
COIMBATORE
COIM3
COIMBATORE, TAMIL NADU, INDIA, PIN-641029
Cust.E Mail: PRINCIPAL@KONGUNADUCOLLEGE.AC.IN
Nomination: NOT REGISTERED

Br Phone: 04222644377
MICR: 641020030
IFSC: IOBA0001707
E Mail: iob1707@iob.in

Scheme Code: SBPUB
Cust Id: 515419156
Opened On: 05-10-2023
MOP: MANDATE HOLDER



शाखा प्रबंधक Branch Manager

14-02-2024	NEFT-BARB-BARBZ24	90000.00	91050.00 Cr
21-03-2024	NEFT-BARB-BARBZ24	90000.00	181050.00 Cr
30-03-2024	NEFT-BARB-BARBV24	90000.00	271050.00 Cr
02-04-2024	270282S RAJA	90000.00	181050.00 Cr
23-04-2024	270283OR S RAJA	90000.00	91050.00 Cr
29-04-2024	270284DR S RAJA	90000.00	1050.00 Cr
04-05-2024	Int.Pd:01-02-2024	760.00	1810.00 Cr

